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# The Pathology of Alzheimer Disease Elicits an In Vivo Immunological Response

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Abstract: The mechanism(s) responsible for the loss of neurons that characterizes Alzheimer disease is incompletely understood. Nonetheless, there is considerable evidence suggestive of immune abnormalities coupled to alterations in blood-brain barrier permeability that likely play a key role in both the etiology and progression of the disease. To examine these issues further, this study was designed to examine the presence of human antibodies within hippocampal regions of both diseased and normal brains. Specifically, using antibodies directed against either human lambda ( $\lambda$ ) or kappa ( $\kappa$ ) subunits of human IgG, we examined the amount and localization of endogenous human antibodies within the brain. In cases of Alzheimer disease, but not in age-matched controls, we found human antibodies associated with pyramidal neurons and dystrophic neurites surrounding amyloid plaques pathological structures that characterize the disease. Since such human immunoglobulins likely originate in the vasculature, we also examined cases of cerebral amyloid angiopathy to further explore the importance of blood-brain barrier breaches and found high levels of antibodies associated with many blood vessels as well as pyramidal neurons. Taken together, these findings strengthen the notion that alterations in blood brain barrier permeability in both Alzheimer disease and cerebral amyloid angiopathy leads to the accumulation of antibodies that then may contribute to the inflammatory cascade within the brain.

Key words: Alzheimer disease, amyloid angiopathy, blood brain barrier, human immunoglobulin

#### **INTRODUCTION**

Alzheimer disease (AD) is a progressive and fatal neurodegenerative disease that is clinically characterized by dementia and neurobehavioral deterioration<sup>[1-3]</sup>. Although the causes of the disease are not fully understood, many of its hallmark features are well known; such aspects include amyloid-β senile plaques, neurofibrillary tangles (NFTs), degeneration of synapses, loss of neurons and cerebral amyloid angiopathy  $(CAA)^{[4-11]}$ . While the mechanisms responsible for this plethora of changes are incompletely understood, it is apparent that changes in blood-brain barrier (BBB) permeability coupled with inflammatory processes play a key role in disease development and progression.

The BBB provides the brain with a separation from the circulating blood of the body. Optimal functioning of the brain requires a specific homeostasis that would be disrupted by the multitude of chemicals and proteins within the blood, and the BBB protects this homeostasis by restricting the access of these compounds into the CSF<sup>[12]</sup>. A breach in the BBB, as a result of disease or interstitial fluid of the brain injury, affords potentially damaging proteins access to the brain and causes damage and inflammation<sup>[12,13]</sup>. In AD, studies have shown that non-steroidal anti-inflammatory drugs (NSAIDs) reduce the risk of disease<sup>[13]</sup> and it is generally thought that inflammation plays a key role in the pathology. The relationship between inflammation and BBB dysfunction, however, remains unclear.

The human immune system is reliant upon the secretion and functioning of antigen-binding antibodies within the bloodstream. Each type of antibody, although unique to a particular antigen, is composed of two heavy and light polypeptide chains that define its class and subclass respectively. The heavy chains characterize the immunoglobulin (Ig) in one of five classes based on the polypeptide sequence of their constant regions: IgA, IgD, IgE, IgG and IgM. Similarly, the subclass of an Ig is governed by the constant region of its light chains and is described as

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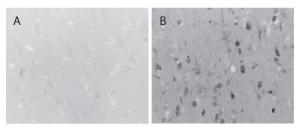
either human  $\lambda$  or human  $\kappa$ . Therefore, although the variable regions of different antibodies are unique, the general presence of Igs can be detected via their constant regions (i.e., the light or heavy chain polypeptide sequence).

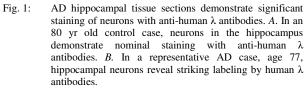
Under normal circumstances, these human antibodies have limited access to the CSF and are not involved in neuronal function. However, a breach in the BBB could enable the entrance of antibodies into the brain and result in neuroinflammation and degeneration. To further explore whether BBB changes might lead to permeability to serum antibodies, in this study we used antisera directed against either human IgG  $\lambda$  or  $\kappa$  to detect the presence of human antibodies within the brain in cases of AD as well as in cases of severe CAA.

# MATERIALS AND METHODS

Cases and neuropathologic assessment: Paraffin embedded tissue sections of human hippocampus were obtained from 11 AD and 7 age-matched, nondemented 'control' cases. All samples were acquired from the Alzheimer Disease Research Center at Case Western Reserve University and fixed in either methacarn (methanol: chloroform: acetic acid: 6:3:1) or formalin. AD were confirmed routine cases pathologically and met CERAD criteria for neuropathologic diagnosis of AD<sup>[14,15]</sup>. AD cases ranged in age from 77 years to 87 years (mean 81) and had an average postmortem interval of four hrs. Likewise, control cases ranged in age from 66 to 82 years (mean 74). In addition, three aged cases of CAA, formalin fixed, were examined.

Immunocytochemistry: Sections were stripped of paraffin embedding using xylene, twice for 10 min at room temperature and rehydrated in descending concentrations of ethanol for ten min each at room temperature. Endogenous peroxidase activity was reduced by 30 min incubation in 3% H<sub>2</sub>O<sub>2</sub> in methanol. Subsequently, non specific binding sites were blocked with 10 min incubation in 10% normal goat serum (NGS) in Tris-buffered Saline (TBS; 50 mM Tris-HCL and 150 mM NaCl, pH7.6). Adjacent tissue sections on each slide were incubated in a 1:50 dilution of either goat anti-human  $\lambda$  or  $\kappa$  antibody conjugated to horseradish peroxidase (Southern Biotech Birmingham, AL) in TBS for 1 hr at room temperature. Sections were then rinsed in 1% NGS in TBS solution and incubated in Tris buffer (50 mM, pH 7.6) for 10 min at room temperature. Antibody binding sites were finally





detected using the chromagen 0.75 mg/mL 3,3'diaminobenzidine (DAB) with 0.015% hydrogen peroxide in Tris buffer. All slides were then dehydrated and mounted.

For cases of CAA, adjacent sections were immunostained with a monoclonal anti-amyloid antibody (4G8, Endogen) following a 70% formic acid pretreatment for 5 min. Development was performed using the peroxidase anti-peroxidase method with DAB as previously described<sup>[16,17]</sup>.

#### RESULTS

In approximately 80% of the AD cases (9 out of 11), there was marked immunostaining with goat antihuman  $\lambda$  and  $\kappa$  antibodies in the brain. Specifically, human  $\lambda$  and  $\kappa$  antibodies were detected in pyramidal neurons, senile plaque neurites and in association with blood vessels. By marked contrast, non-demented control cases demonstrated little or no staining. Figure 1 shows the marked difference between control (Fig. 1A) and AD (Fig. 1B) within pyramidal neurons. Figure 2 shows human  $\lambda$  antibody in dystrophic neurites around amyloid- $\beta$  senile plaques in the AD cases. No differences in staining pattern or intensity were noted between  $\lambda$  and  $\kappa$  specific antibodies or between cases fixed with either formalin or methacarn. Pretreatments with either formic acid or other antigen retrieval techniques demonstrated equally effective labeling as native AD sections suggesting that there is no significant antigen masking effects.

To further explore the role of BBB breaches, cases of severe CAA were also examined. Like AD, the CAA cases demonstrated significant amounts of human immunoglobulins (both  $\lambda$  and  $\kappa$ ) within cerebral vessels (Fig. 3A) as well as within pyramidal neurons (not shown). Notably, there was only slight co-localization

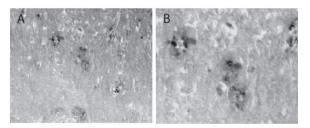


Fig. 2: Human  $\lambda$  antibodies are localized to AD-related amyloid plaques. *A*. A representative AD case, age 88, demonstrating amyloid plaque with positive stain of antihuman  $\lambda$  antibody. *B*. Higher magnification of the structures in *A*.

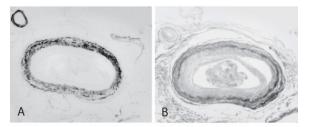


Fig. 3: Adjacent serial sections stained to compare the accumulation of human  $\lambda$  antibodies with amyloid deposition with the vasculature of a case with severe CAA. In cases with severe CAA, many vessels accumulate amyloid (*A*). As seen in the same field on an adjacent section, human  $\lambda$  antibodies (*B*) accumulate within some of the same vessels as amyloid. However, not all vessels with amyloid display human  $\lambda$  antibody reactivity.

of human antibodies with the deposits of amyloid in blood vessels (Fig. 3 A,B).

#### DISCUSSION

In this study, we found human immunoglobulin subunits  $\lambda$  and  $\kappa$  associated with the pathology of AD. Specifically, pyramidal neurons, senile plaque, neurites and cerebral blood vessels all show marked elevations in human immunoglobulin as compared to agedmatched controls. Since the brain is classically considered to be immunologically privileged, these findings suggest that in AD, there is a breach of this privilege, likely as a consequence of BBB breakdown and increased permeability. Indeed, the fact that >80% of our AD cases show human IgG in brain is consistent with the proportion of AD cases estimated to have gross BBB alterations<sup>[18,19]</sup>.

The importance of BBB changes to our observations is also indicated by cerebral immunoglobulins within pyramidal neurons and the vasculature in cases of CAA. Notably, with the vessels of CAA cases, there was little relation between human immunoglobulins and amyloid- $\beta$  which is consistent with the notion that amyloid- $\beta$  occurs as a consequence to changes in the BBB rather than vice versa<sup>[17,20]</sup>. Our data thus suggests that human IgG may be a reliable and accurate indicator of BBB breaches.

The data acquired in this study reveal a significant association between the pathology typical of AD and human antibodies. Co-localization of human  $\lambda$  and  $\kappa$ type antibodies with amyloid plaques and the pyramidal neuron population susceptible to NFT development suggests a cause/effect relationship between the two and a potential link to neurodegeneration, although the exact connections remain uncertain. In particular, the role of the immune system in the disease, evident through the presence of the antibodies, cannot be accurately assessed. Previous studies have identified the presence of human antibodies in regions near amyloid deposition<sup>[21]</sup>. This study further promotes the pivotal role of the human immune system in AD and specifically infers an autoimmune characteristic to the disease.

One possibility for the role of the human immune system in the disease is that it functions as a response to the neuronal stress and inflammation instigated by amyloid- $\beta$  deposition and tau NFT development. This hypothesis presupposes that the pathological entities precede the generation and localization of the antibodies and that the antibodies are the body's healthy attempt to prevent further degeneration. However, previous studies have indicated the presence of antibodies in patients prior to development of pathology. Antimicroglial antibodies have been found in the cerebrospinal fluid in AD<sup>[22]</sup>. These studies demonstrate the presence of antibodies prior to significant inflammation.

Similarly, studies which describe evidence of antibodies in neurons that are otherwise healthy infer that they are a precedent to AD pathology<sup>[23]</sup>. Interestingly, seemingly "healthy" neurons within the hippocampal neuronal population, especially those susceptible to NFT development, have been shown to accumulate markers of oxidative damage well before the onset of AD symptoms or pathological development well<sup>[24-27]</sup> Specifically, increases in as 8hydroxyguanosine and the lipid peroxidation product 4hydroxynonenal are considered markers of AD that accumulate within neurons before the appearance of NFTs<sup>[25.28,29]</sup>.

Serum levels of antibodies toward amyloid- $\beta$  have also been studied and have concluded very little distinction between levels in AD patients as compared to those of controls. Evidence even suggests lower levels of amyloid antibodies in serum of AD patients as compared to normal controls<sup>[30]</sup>. Consequently, although there are high concentrations of human antibodies within the brains in AD patients as revealed in this study, they do not appear to be specifically directed against the amyloid- $\beta$  epitope. This discovery leaves potential for the negative role of the immune system in the disease. Specifically, the antibodies in brain tissue of AD patients, as they both precede and are unrelated to the pathology of the disease, may, in fact, be attacking the tissue itself and be causing the characteristic inflammation of AD. Evidence even shows that immunoglobulin penetration into brain tissue results in a series of damaging consequences including demyelination, disruption of neural death<sup>[31-33]</sup> transmission, and cell and such consequences directly apply to the pathogenesis of AD, particularly to the overall neurodegeneration.

In summary, human antibody accumulation within susceptible neuronal populations, as well as neuritic plaques in tissue sections of AD brains in this study, characterize AD as an inflammatory immune disease. BBB dysfunction has been documented in cases of AD<sup>[34]</sup> and would account for the unusual influx of human antibodies in brain tissue and lead to the ultimate demise of neurons. As evidenced by the notable accumulation of antibodies within many of the vessels in the cases of CAA shown in this study, a BBB disruption may in fact play a relevant role in the progression of the disease as well.

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